



Form PTO 1449

(Rev. 2-32)

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
AMC-00-003Serial No.  
09/706,227

## Information Disclosure Statement by Applicant

Applicant: Wold et al.

(Use several sheets if necessary)

Filed: 11/03/00

Group:

## U.S. Patent Documents

Init.	Document No.	Date	Name	Class	Subclass	Filing Date
DEL	3,919,479	11/11/75	Moon et al.	179	1 SB	04/08/74
	4,230,990	10/28/80	Lert, Jr. et al.	455	67	03/16/79
	4,450,531	05/22/84	Kenyon et al.	364	604	09/10/82
	4,677,466	06/30/87	Lert, Jr. et al.	358	84	07/29/95
	4,843,562	06/27/89	Kenyon et al.	364	487	06/24/87
	5,210,820	05/11/93	Kenyon	395	2	05/02/90
	5,437,050	07/25/95	Lamb et al.	455	2	11/09/92
	5,581,658	12/3/96	O'Hagan et al.	395	22	12/14/93
	5,918,223	06/29/99	Blum et al.	707	1	07/21/97

## Foreign Documents

							Translation	
Init.	Document No.	Date	Country	Class	Subclass		Yes	No

## Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

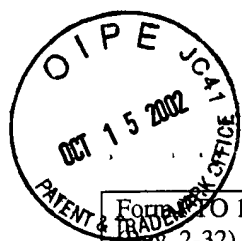

Examiner

*David R. Malmgren*

Date Considered

*1/28/03 4/19/04*

Examiner: Initial if citation considered, whether or not citation is in conference with MPEP 609; Draw line through citation if not conformance and not considered. Include a copy of this form with the next communication to applicant.



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**RECEIVED****Information Disclosure Statement by Applicant**

Applicant: Wold et al.

OCT 17 2002

(Use several sheets if necessary)

Filed: November 3, 2000 Group: 2152

Technology Center 2100

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Init.		Document No.	Date	Name	Class	Subclass	Filing Date
DBL	1	4,677,455	06/30/1987	Okajima	357	38	07/01/1986
	2	4,739,398	04/19/1988	Thomas et al.	358	84	05/02/1986
	3	4,918,730	04/17/1990	Schulze	381	43	06/24/1988
	4	5,283,819	02/01/1994	Glick	379	90	04/25/1991
	5	6,006,256	12/21/1999	Zdepski et al.	709	217	03/11/1996
	6	6,011,758	01/04/2000	Dockes et al.	369	30	07/01/1998
	7	6,026,439	02/15/2000	Chowdhury et al.	709	233	10/28/1997
	8	6,044,402	03/28/2000	Jacobson et al.	709	225	07/02/1997
	9	6,118,450	09/12/2000	Proehl et al.	345	349	04/03/1998
	10	6,192,340 B1	02/20/2001	Abecassis	704	270	10/19/1999
	11	6,253,193 B1	06/26/2001	Ginter et al.	705	57	12/09/1998
	12	6,253,377 B1	06/26/2001	Maloney et al.	714	38	07/19/1999

**Foreign Documents**

								Translation	
Init.		Document No.	Date	Country	Class	Subclass	Yes	No	
<i>ML</i>	13	WO 02/15035 A2	02/21/2002	PCT (United States)	G06F	17/00		X	

**Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)**

ML	DBL	14	L. Baum et al., "A Maximization Technique Occurring in the Statistical Analysis of Probabilistic Functions of Markov Chains, <u>The Annals of Mathematical Statistics</u> , Vol. 41, No. 1 pp. 164-171, 1970 (no month).						
		15	A. P. Dempster et al. "Maximum Likelihood from Incomplete Data via the SEM\$ Algorithm", <u>Journal of the Royal Statistical Society, Series B (Methodological)</u> , Vol. 39, Issue 1, pp. 1-38, 1977 (no month).						
		16	D. Reynolds et al., "Robust Text-Independent Speaker Identification Using Gaussian Mixture Speaker Models", <u>IEEE Transactions on Speech and Audio Processing</u> , Vol. 3, No. 1, pp. 72-83, January 1995.						

Examiner

Date Considered

01/28/03 4/03/04

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